ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

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1. Identifying information.
2. The work under consideration for publication.
   
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3. Relevant financial activities outside the submitted work.
   
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   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.
   
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**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Bassel

2. Surname (Last Name)  
   Diebo

3. Date  
   24-December-2018

4. Are you the corresponding author?  
   ✔ Yes  No

5. Manuscript Title  
   Restoration of Global Sagittal Alignment Following Surgical Correction of Cervical Hyperlordosis in the Setting of Emery-Dreifuss Muscular Dystrophy

6. Manuscript Identifying Number (if you know it)

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
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Section 6. Disclosure Statement

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Dr. Diebo has nothing to disclose.

Evaluation and Feedback

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Section 1. Identifying Information

1. Given Name (First Name)  
   Carl

2. Surname (Last Name)  
   Paulino

3. Date  
   24-December-2018

4. Are you the corresponding author?  
   ✔ No  
   Corresponding Author’s Name  
   Bassel G. Diebo

5. Manuscript Title  
   Restoration of Global Sagittal Alignment Following Surgical Correction of Cervical Hyperlordosis in the Setting of Emery-Dreifuss Muscular Dystrophy

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Are there any relevant conflicts of interest?  
   ✔ Yes  
   No

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<th>Name of Entity</th>
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Dr. Paulino reports personal fees from DePuy Synthes/Ethicon, outside the submitted work.

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1. Given Name (First Name)  
James

2. Surname (Last Name)  
Messina

3. Date  
24-December-2018

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☐ Yes  ☑ No  
Corresponding Author’s Name  
Bassel G. Diebo

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Mr. Messina has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name)  
Kiehyun

2. Surname (Last Name)  
Riew

3. Date  
22-December-2018

4. Are you the corresponding author?  
☑ Yes  ☐ No

Corresponding Author’s Name  
Bassel G. Diebo

5. Manuscript Title  
Restoration of Global Sagittal Alignment Following Surgical Correction of Cervical Hyperlordosis in the Setting of Emery-Dreifuss Muscular Dystrophy

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<td>I bought $100,000 of stock in this company this year.</td>
</tr>
<tr>
<td>Spinal Kinetics</td>
<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>☑</td>
<td>I own stock in this company that makes a cervical disc arthroplasty but have never used their product or done research using their device.</td>
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Dr. Riew reports personal fees from Biomet-Zimmer, personal fees from Medtronic, other from AOSpine, personal fees from Advance Medical, personal fees from Axiomed, other from Spinal Kinetics, personal fees from Nuvasive, outside the submitted work.

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<tr>
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<th>Nicholas</th>
</tr>
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</tr>
<tr>
<td>3. Date</td>
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Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
   ✔ Yes  
   ☐ No

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**Section 3. Relevant financial activities outside the submitted work.**

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
   ✔ Yes  
   ☐ No

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**Section 4. Intellectual Property -- Patents & Copyrights**

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
   ✔ Yes  
   ☐ No
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1. Identifying information.

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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.


This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.
Grant: A grant from an entity, generally [but not always] paid to your organization
Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations
Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.
Other: Anything not covered under the previous three boxes
Pending: The patent has been filed but not issued
Issued: The patent has been issued by the agency
Licensed: The patent has been licensed to an entity, whether earning royalties or not
Royalties: Funds are coming in to you or your institution due to your patent

Shah
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Neil
2. Surname (Last Name)  Shah
3. Date  24-December-2018
4. Are you the corresponding author?  Yes  No
5. Manuscript Title  Restoration of Global Sagittal Alignment Following Surgical Correction of Cervical Hyperlordosis in the Setting of Emery-Dreifuss Muscular Dystrophy
6. Manuscript Identifying Number (if you know it)

Corresponding Author’s Name  Bassel G. Diebo

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Royalties: Funds are coming in to you or your institution due to your patent
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**Section 1. Identifying Information**

1. Given Name (First Name)  
Qais

2. Surname (Last Name)  
Naziri

3. Date  
02-January-2019

4. Are you the corresponding author?  
[ ] Yes  [✓] No  
Corresponding Author’s Name  
Bassel G. Diebo

5. Manuscript Title  
Restoration of Global Sagittal Alignment Following Surgical Correction of Cervical Hyperlordosis in the Setting of Emery-Dreifuss Muscular Dystrophy

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