ICMJE Form for Disclosure of Potential Conflicts of Interest

**Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. **Intellectual Property.**

   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Caroline

2. Surname (Last Name)  
   Thirukumaran

3. Date  
   21-May-2019

4. Are you the corresponding author?  
   ✔ Yes  ☐ No

5. Manuscript Title  
   Impact of Hospital Readmissions Reduction Program Penalties on Hip and Knee Replacement Readmissions: Comparison of Hospitals at Risk of Varying Penalty Amounts

6. Manuscript Identifying Number (if you know it)  
   JBJS-D-18-01501

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Thirukumaran
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Dr. Thirukumaran reports grants from NIH, during the conduct of the study;

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Section 1. Identifying Information

1. Given Name (First Name)  Laurent
2. Surname (Last Name)  Glance
3. Date  21-May-2019
4. Are you the corresponding author?  Yes  ✔  No
5. Manuscript Title  Impact of Hospital Readmissions Reduction Program Penalties on Hip and Knee Replacement Readmissions: Comparison of Hospitals at Risk of Varying Penalty Amounts
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   Corresponding Author's Name
   Caroline P. Thirukumaran

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2. Surname (Last Name)  Cai
3. Date  29-May-2019
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Benjamin

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Ricciardi

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☐ Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

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Dr. Ricciardi has nothing to disclose.

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1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party – that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.

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Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.


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Entity: government agency, foundation, commercial sponsor, academic institution, etc.
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Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations.
Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes.
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Royalties: Funds are coming in to you or your institution due to your patent.
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)          2. Surname (Last Name)          3. Date
Brian                                  McGarry                   21-May-2019

4. Are you the corresponding author? □ Yes  □ No

Corresponding Author's Name
Caroline Thirukumaran

5. Manuscript Title
Impact of Hospital Readmissions Reduction Program Penalties on Hip and Knee Replacement Readmissions: Comparison of Hospitals at Risk of Varying Penalty Amounts

6. Manuscript Identifying Number (if you know it)
JBJSD-18-01501

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Are there any relevant conflicts of interest? □ Yes  □ No

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Are there any relevant conflicts of interest? □ Yes  □ No

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
   Yue

2. Surname (Last Name)  
   Li

3. Date  
   29-May-2019

4. Are you the corresponding author?  
   ☑ Yes  ☐ No
   Corresponding Author’s Name  
   Caroline P. Thirukumaran

5. Manuscript Title
   Impact of Hospital Readmissions Reduction Program Penalties on Hip and Knee Replacement Readmissions: Comparison of Hospitals at Risk of Varying Penalty Amounts

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Are there any relevant conflicts of interest?  ☑ Yes  ☐ No

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**Intellectual Property -- Patents & Copyrights**

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Dr. Li reports grants from NIH, during the conduct of the study; personal fees from The Springer, personal fees from The Boston Consulting Group, Inc, personal fees from The Intermountain Healthcare, outside the submitted work.

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