ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work’s sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.


This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Definitions.

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Section 1. Identifying Information

1. Given Name (First Name)  Robert
2. Surname (Last Name)  Tashjian
3. Date  24-July-2019
4. Are you the corresponding author?  ✔ Yes  No
5. Manuscript Title  What’s New In Shoulder and Elbow Surgery
6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  ✔ Yes  No

Are there any relevant conflicts of interest?  ✔ Yes  No
If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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<td>Author stipend</td>
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Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  ✔ Yes  No
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<th>Name of Entity</th>
<th>Grant?</th>
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Genesis | ☐ | ☐ | ☐ | ☑ | stock |
Wright Medical | ☐ | ☑ | ☐ | ☐ | |
ZimmerBiomet | ☐ | ☑ | ☐ | ☐ | |
Depuy Mitek | ☐ | ☑ | ☐ | ☐ | |
Springer | ☐ | ☑ | ☐ | ☐ | |
Journal of the American Association of Orthopaedic Surgeons | ☐ | ☑ | ☐ | ☐ | |

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ☑ No

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Tashjian reports personal fees from Journal of Bone and Joint Surgery, during the conduct of the study; other from Conextions, other from Kator, other from Intrafuse, other from Genesis, personal fees from Wright Medical, personal fees from ZimmerBiomet, personal fees from Depuy Mitek, personal fees from Springer, personal fees from Journal of the American Association of Orthopaedic Surgeons, outside the submitted work; .
Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.
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1. Given Name (First Name)  
   Peter

2. Surname (Last Name)  
   Chalmers

3. Date  
   24-July-2019

4. Are you the corresponding author?  
   Yes ☐ No ✔

5. Manuscript Title  
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Dr. Chalmers reports personal fees from Arthrex, personal fees from Deputy Mitek, outside the submitted work; .

**Evaluation and Feedback**

Please visit [http://www.icmje.org/cgi-bin/feedback](http://www.icmje.org/cgi-bin/feedback) to provide feedback on your experience with completing this form.