ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

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Pending: The patent has been filed but not issued

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Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Pascal
2. Surname (Last Name) Boileau
3. Date 29-April-2015
4. Are you the corresponding author? Yes No ✔
5. Manuscript Title Reverse Shoulder Arthroplasty for Malunions of the Proximal Humerus (Type 4 Fracture Sequelae)
6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? Yes No ✔

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No ✔

If yes, please fill out the appropriate information below.

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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes No ✔
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Section 6. Disclosure Statement

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Dr. Boileau reports other from Tornier, other from Smith & Nephew, other from Imascap, outside the submitted work; .

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

**Section 1. Identifying Information**

1. Given Name (First Name)  
   Thomas

2. Surname (Last Name)  
   Bruckner

3. Date  
   25-April-2015

4. Are you the corresponding author?  
   ☑ Yes  No

   Corresponding Author’s Name  
   Patric Raiss

5. Manuscript Title  
   Reverse Shoulder Arthroplasty for Malunions of the Proximal Humerus

6. Manuscript Identifying Number (if you know it)

**Section 2. The Work Under Consideration for Publication**

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
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**Section 4. Intellectual Property -- Patents & Copyrights**

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Dr. Bruckner has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) Philippe
2. Surname (Last Name) Collin
3. Date 29-April-2015
4. Are you the corresponding author? ☑ No
5. Manuscript Title Reverse Shoulder Arthroplasty for Malunions of the Proximal Humerus
6. Manuscript Identifying Number (if you know it)

Corresponding Author’s Name
Patric Raiss

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Dr. Collin has nothing to disclose.

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### Section 1. Identifying Information

1. Given Name (First Name)  
   Thomas Bradley

2. Surname (Last Name)  
   Edwards

3. Date  
   24-April-2015

4. Are you the corresponding author?  
   [ ] Yes  
   ✔ No  
   Corresponding Author’s Name  
   Patric Raiss

5. Manuscript Title  
   Reverse Shoulder Arthroplasty for Malunions of the Proximal Humerus

6. Manuscript Identifying Number (if you know it)

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Are there any relevant conflicts of interest?  
   [ ] Yes  
   ✔ No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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   ✔ No

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Dr. Edwards is a Consultant for Tornier company and receives royalties.

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Dr. Edwards reports other from Tornier Company, during the conduct of the study; other from Tornier Company, outside the submitted work; and Dr. Edwards is a Consultant for Tornier company and receives royalties.

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### Section 1. Identifying Information

1. Given Name (First Name)  
   Markus

2. Surname (Last Name)  
   Loew

3. Date  
   29-April-2015

4. Are you the corresponding author?  
   Yes ✔ No

5. Manuscript Title  
   Reverse Shoulder Arthroplasty for Malunions of the Proximal Humerus

6. Manuscript Identifying Number (if you know it)

   Corresponding Author’s Name
   Patric Raiss

---

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Are there any relevant conflicts of interest?  
   Yes ✔ No

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Dr. Loew reports personal fees from Tornier Comp. outside the submitted work.

Evaluation and Feedback

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.
2. The work under consideration for publication.
   This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking “No” means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check “Yes”.

3. Relevant financial activities outside the submitted work.
   This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.
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   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.
   Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Royalties: Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Patric
2. Surname (Last Name) Raiss
3. Date 29-April-2015
4. Are you the corresponding author? ☑ Yes ☐ No
5. Manuscript Title Reverse Shoulder Arthroplasty for Malunions of the Proximal Humerus
6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? ☑ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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<td>Stiftung Endoprothetik</td>
<td>☑</td>
<td>☐</td>
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<td>☐</td>
<td>Dr. Raiss received a research grant to perform a fellowship and the study in Lyon.</td>
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Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication. Are there any relevant conflicts of interest? ☐ Yes ☑ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☑ No
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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Raiss reports grants from Stiftung Endoprothetik, during the conduct of the study.

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**Other:** Anything not covered under the previous three boxes.

**Pending:** The patent has been filed but not issued.

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**Royalties:** Funds are coming in to you or your institution due to your patent.
# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**
   - Gilles

2. **Surname (Last Name)**
   - WALCH

3. **Date**
   - 24-April-2015

4. **Are you the corresponding author?**
   - Yes [✓]  No [ ]
     
     **Corresponding Author’s Name**
     - Patric Raiss

5. **Manuscript Title**
   - Reverse Shoulder Arthroplasty for Malunions of the Proximal Humerus (Type 4 Fracture Sequelae)

6. **Manuscript Identifying Number (if you know it)**
   - 

## Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  **Yes [✓]  No [ ]**

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Dr. WALCH reports personal fees from Tornier, other from Imascap, during the conduct of the study.

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Section 1. Identifying Information

1. Given Name (First Name)  
   Felix

2. Surname (Last Name)  
   Zeifang

3. Date  
   29-April-2015

4. Are you the corresponding author?  
   Yes ✔ No

5. Manuscript Title  
   Reverse Shoulder Arthroplasty for Malunions of the Proximal Humerus

6. Manuscript Identifying Number (if you know it)

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Dr. Zeifang has nothing to disclose.

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