ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.
2. The work under consideration for publication.
   This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party – that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  
Robert H.

2. Surname (Last Name)  
Cofield MD

3. Date  
02-February-2015

4. Are you the corresponding author?  
☑ No

Corresponding Author's Name  
Bassem T. Elhassan MD

5. Manuscript Title  
Outcomes and Complications in Shoulder Arthroplasty as a Function of Body Mass Index

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  
Are there any relevant conflicts of interest?  
☑ No

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Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication.  
Are there any relevant conflicts of interest?  
☑ Yes

If yes, please fill out the appropriate information below.

<table>
<thead>
<tr>
<th>Name of Entity</th>
<th>Grant?</th>
<th>Personal Fees?</th>
<th>Non-Financial Support?</th>
<th>Other?</th>
<th>Comments</th>
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<tr>
<td>Smith Nephew, DJO</td>
<td>☐</td>
<td>☑</td>
<td></td>
<td>☐</td>
<td>Royalties</td>
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</tbody>
</table>

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☑ Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.
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<table>
<thead>
<tr>
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<td>Royalties for shoulder arthroplasty</td>
</tr>
</tbody>
</table>

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- [ ] Yes, the following relationships/conditions/circumstances are present (explain below):
- ✔ No other relationships/conditions/circumstances that present a potential conflict of interest

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**Section 6. Disclosure Statement**

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Dr. Cofield reports personal fees from Smith Nephew, DJO, outside the submitted work; in addition, Dr. Cofield has a patent Smith Nephew issued.

**Evaluation and Feedback**

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ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Eric R.  
2. Surname (Last Name)  Wagner  
3. Date  02-February-2015  
4. Are you the corresponding author?  Yes ☐  No ☑

Corresponding Author’s Name  Bassem T. Elhassan MD

5. Manuscript Title  Increasing Body Mass Index Is Associated with Worse Outcomes After Shoulder Arthroplasty

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Yes ☐  No ☑

Are there any relevant conflicts of interest?  Yes ☐  No ☑

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Dr. Wagner has nothing to disclose.

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Schleck
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name)  Cathy
2. Surname (Last Name)  Schleck
3. Date  02-February-2015
4. Are you the corresponding author?  Yes  No
   Corresponding Author’s Name  Bassem T. Elhassan MD
5. Manuscript Title
   Increasing Body Mass Index Is Associated with Worse Outcomes After Shoulder Arthroplasty
6. Manuscript Identifying Number (if you know it)

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Ms. Schleck has nothing to disclose.

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## ICMJE Form for Disclosure of Potential Conflicts of Interest

### Section 1. Identifying Information

1. **Given Name (First Name)**
   Joaquin

2. **Surname (Last Name)**
   Sanchez-Sotelo

3. **Date**
   21-October-2015

4. **Are you the corresponding author?**
   - [ ] Yes
   - [x] No
   - **Corresponding Author’s Name**
     Bassem Elhassan, MD

5. **Manuscript Title**
   Increasing Body Mass Index Is Associated with Worse Outcomes After Shoulder Arthroplasty

6. **Manuscript Identifying Number (if you know it)**

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Are there any relevant conflicts of interest?

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Dr. Sanchez-Sotelo has nothing to disclose.

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<td>Matthew</td>
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<td>2. Surname (Last Name)</td>
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<td>3. Date</td>
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<td>28-October-2015</td>
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<td>4. Are you the corresponding author?</td>
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<tr>
<td>[ ] Yes</td>
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<tr>
<td>☑ No</td>
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<tr>
<td>Corresponding Author's Name</td>
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<tr>
<td>Bassem Elhassan, MD</td>
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<td>5. Manuscript Title</td>
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<table>
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<tr>
<th>Section 4. Intellectual Property -- Patents &amp; Copyrights</th>
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Dr. Houdek has nothing to disclose.

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### Section 1. Identifying Information

1. Given Name (First Name)  
   Bassem T.

2. Surname (Last Name)  
   Elhassan

3. Date  
   02-February-2015

4. Are you the corresponding author?  
   [ ] Yes  
   [x] No

5. Manuscript Title  
   Increasing Body Mass Index Is Associated with Worse Outcomes After Shoulder Arthroplasty

6. Manuscript Identifying Number (if you know it)

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  

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   [x] No

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<tr>
<td>William S</td>
<td>Harmsen</td>
<td>02-February-2015</td>
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4. Are you the corresponding author?  
   - Yes  
   - No  
   ✔ No

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Mr. Harmsen has nothing to disclose.

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1. Given Name (First Name)  
   John W.

2. Surname (Last Name)  
   Sperling

3. Date  
   22-January-2017

4. Are you the corresponding author?  
   ☑ Yes  ☐ No  
   Corresponding Author’s Name  
   Bassem Elhassan, MD

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Dr. Sperling reports other from Biomet, outside the submitted work.

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