ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. **Identifying information.**

2. **The work under consideration for publication.**

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4. **Intellectual Property.**

   This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. **Relationships not covered above.**

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**Licensed:** The patent has been licensed to an entity, whether earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent
ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Thomas
2. Surname (Last Name) Wright
3. Date 10-August-2016

4. Are you the corresponding author?  
   Yes ☐ No ☑

Corresponding Author’s Name
Dr. Joseph Zuckerman

5. Manuscript Title
Conversion to Reverse Total Shoulder Arthroplasty With and Without Humeral Stem Retention: The Role of a Platform Stem

6. Manuscript Identifying Number (if you know it)
JBJS-D-16-00683R1

Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?  
Yes ☐ No ☑

Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?  
☑ Yes ☐ No

If yes, please fill out the appropriate information below.

<table>
<thead>
<tr>
<th>Name of Entity</th>
<th>Grant?</th>
<th>Personal Fees?</th>
<th>Non-Financial Support?</th>
<th>Other?</th>
<th>Comments</th>
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<tbody>
<tr>
<td>EXACTECH</td>
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<td>☐</td>
<td>☐</td>
<td>☐</td>
<td>ROYALTIES</td>
</tr>
</tbody>
</table>

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  
☑ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.
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Section 5. Relationships not covered above

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✔ Yes, the following relationships/conditions/circumstances are present (explain below):

☐ No other relationships/conditions/circumstances that present a potential conflict of interest

EXACTECH ROYALTIES

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Wright reports grants from EXACTECH, outside the submitted work; in addition, Dr. Wright has a patent EXACTECH with royalties paid and EXACTECH ROYALTIES.
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Royalties: Funds are coming in to you or your institution due to your patent
### Section 1. Identifying Information

1. Given Name (First Name)
   - Joseph

2. Surname (Last Name)
   - Zuckerman

3. Date
   - 15-May-2016

4. Are you the corresponding author?
   - ✔ Yes  ☐ No

5. Manuscript Title
   - Revision Total Shoulder Arthroplasty With And Without Humeral Stem Removal: Is There A Difference?

6. Manuscript Identifying Number (if you know it)
   - JBJS-D-16-00683R1

### Section 2. The Work Under Consideration for Publication

Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest?
   - ✔ Yes  ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

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### Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the “Add +” box. You should report relationships that were present during the 36 months prior to publication.

Are there any relevant conflicts of interest?
   - ✔ Yes  ☐ No

If yes, please fill out the appropriate information below.

<table>
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<tr>
<th>Name of Entity</th>
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</table>
Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ✔ Yes    No

If yes, please fill out the appropriate information below. If you have more than one entity press the “ADD” button to add a row. Excess rows can be removed by pressing the “X” button.

<table>
<thead>
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Dr. Zuckerman reports personal fees from Exactech, Inc., during the conduct of the study, and personal fees from Exactech, Inc., outside the submitted work. In addition, Dr. Zuckerman has a patent 9,233,003 issued, and a patent 8,870,962 issued.
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<table>
<thead>
<tr>
<th>1. Given Name (First Name)</th>
<th>Lynn</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Surname (Last Name)</td>
<td>Crosby</td>
</tr>
<tr>
<td>3. Date</td>
<td>10-August-2016</td>
</tr>
<tr>
<td>4. Are you the corresponding author?</td>
<td>☑ No</td>
</tr>
<tr>
<td>Corresponding Author’s Name</td>
<td>Dr. Joseph Zuckerman</td>
</tr>
</tbody>
</table>

5. Manuscript Title
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Consultant for Exactech

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Dr. Crosby reports consulting for Exactech.

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# ICMJE Form for Disclosure of Potential Conflicts of Interest

## Section 1. Identifying Information

1. **Given Name (First Name)**
   - Stephen

2. **Surname (Last Name)**
   - Yu

3. **Date**
   - 26-May-2016

4. **Are you the corresponding author?**
   - Yes  ✔

5. **Manuscript Title**
   - Revision Shoulder Arthroplasty With And Without Humeral Stem Removal: Is There A Difference?

6. **Corresponding Author’s Name**
   - Joseph D. Zuckerman

## Section 2. The Work Under Consideration for Publication

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## Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?  ✔

---

**Yu**
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Dr. Yu has nothing to disclose.

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